

RECEIVED
CENTRAL FAX CENTER

JUL 19 2005

OFFICIAL

CARDINAL LAW GROUP

1603 Orrington Avenue/Suite 2000
Evanston, Illinois 60201
Telephone 847 - 905 - 7111
Facsimile 847 - 905 - 7113

Date: JULY 19, 2005

To: EXAMINER FELTEN, DANIEL S.
U.S. PATENT AND TRADEMARK OFFICE

Fax #: (571) 273-8300

From: FRANK C. NICHOLAS
Phone #: (847) 905-7111

Client/Matter No.: 1250/4

of Pages: 6
(including cover sheet)

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE, PLEASE CALL 847/905-7111, Ext. 112 AND ASK FOR JENNIFER CRUZ

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

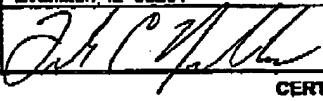

PTO/CPH (12-07), approved for use through 8/30/00. (400-0001-0001) Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
 When the Paper Reduction Act or rules, the person who requires a response to a notice to file must submit a copy of the notice to the Office.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Attorney Docket No.	125014
	Application Number	09/822,240
	Filing Date	AUGUST 3, 2001
	First Named Inventor	MICHAEL A. GREENBERG
	Group Art Unit	3624
	Examiner	FELTEN, DANIEL S.

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Response to Requirement for Information Dated May 19, 2005 <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Status Letter <input type="checkbox"/> Extension of Time Request (duplc) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement, PTO-1449, art <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Petition Raising Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Executed Declaration <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Post Card Receipt <input type="checkbox"/> Additional Enclosure(s) (please identify below) <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed.		
<input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed.		

CALCULATION OF FEE

				Small Entity		Large Entity		
	Claims After Amendment		Highest No Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$25=	0	x \$50=	\$0
Indep		Minus			x \$100=	0	x \$200=	\$0
First Presentation of Multiple Dep. Claim					+ \$180=	—	+ \$360=	
					total add'l fee	\$ 0	total add'l fee	\$0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 CARDINAL LAW GROUP 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date	July 19, 2005
CERTIFICATE OF FACSIMILE			
I hereby certify that this correspondence is being transmitted by facsimile to (571) 273-8300 to the United States Patent and Trademark Office on this date.			
			July 19, 2005
Signature		Date:	July 19, 2005
FRANK C. NICHOLAS (33,983)			

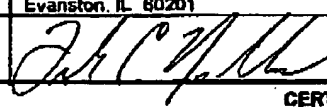
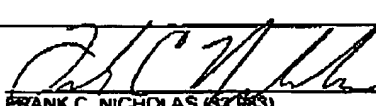
PTO/SA/21 (1-99) approved for use through 9/30/03. Does not contain Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
Under the paper reduction act of 1994, the persons are required to respond to a summons or subpoena of a court of law or a court of equity.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Attorney Docket No.	12504
	Application Number	09/922,240
	Filing Date	AUGUST 3, 2001
	First Named Inventor	MICHAEL A. GREENBERG
	Group Art Unit	3624
	Examiner	FELTEN, DANIEL S.


ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Response to Requirement for Information Dated May 19, 2005 <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Status Letter <input type="checkbox"/> Extension of Time Request (duplc) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement, PTO-1449, int <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers <input type="checkbox"/> Drawings <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Executed Declaration <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Post Card Receipt <input type="checkbox"/> Additional Enclosure(s) (please identify below) <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed.		
<input checked="" type="checkbox"/> I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed.		

CALCULATION OF FEE

					Small Entity		Large Entity	
	Claims After Amendment		Highest No Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$25=	0	x \$50=	\$0
Indep		Minus			x \$100=	0	x \$200=	\$0
First Presentation of Multiple Dep. Claim					+ \$180=	---	+ \$360=	---
					total add'l fee	\$ 0	total add'l fee	\$0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 CARDINAL LAW GROUP 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date	July 19, 2005
CERTIFICATE OF FACSIMILE			
I hereby certify that this correspondence is being transmitted by facsimile to (571) 273-8300 to the United States Patent and Trademark Office on this date:			
			July 19, 2005
Signature		Date:	July 19, 2005
FRANK C. NICHOLAS (33,983)			

Certificate of Facsimile
I hereby certify that this correspondence is being
transmitted by facsimile to (571) 273-8300 to the U.S.
Patent and Trademark Office July 19, 2005
(Date of Transmission)

FRANK C. NICHOLAS
Name of applicant, assignee or registered representative

Signature
July 19, 2005
Date of Signature

RECEIVED
CENTRAL FAX CENTER
JUL 19 2005

PATENT
Case No. 1250/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	
)	
MICHAEL A. GREENBERG, ET AL)	
)	Examiner: FELTEN, DANIEL S.
Serial No.: 09/922,240)	
)	Group Art Unit: 3624
Filed: AUGUST 3, 2001)	
)	
For: METHOD AND SYSTEM FOR)	
EXCHANGING COMMODITIES)	
ONLINE)	

RESPONSE TO REQUIREMENT FOR INFORMATION

DATED MAY 19, 2005

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Requirement for Information action of May 19, 2005, please
enter the following information response to a 37 C.F.R. §1.105 request for
information.

July 19, 2005
Case No. 1250/4
Serial No.: 09/922,240
Filed: August 3, 2001
Page 2 of 3

37 C.F.R. §1.105 Request for Information

Request (1): A copy of any non-patent literature, published application, or patent (U.S. or foreign), by any of the inventors, that relates to the claimed invention that was used in the invention process such as by designing around or providing a solution to accomplish an invention result.

Response (1): A parallel but unrelated type of marketplace has been used at the worlds futures exchanges (i.e., Chicago Mercantile Exchange, Chicago Board of Trade) prior to the conception date of the present invention, but only for the trading of futures with delivery as a last resort and not geared at all for the physicals markets. Thus, at the time of conception of the present invention, there was no basis of comparison of the worlds futures exchanges with the plastics industry whereby the futures marketplace could serve as a starting point to derive the present invention. The inventors of the present invention therefore had to conceive a completely new concept without relying on any type of documentation associated with the worlds futures exchanges.

Request (2): A working prototype of the invention that can be tested by the Examiner.

Response (2): A website incorporating the claimed subject matter that can be tested by the Examiner is www.ThePlasticsExchange.com.

Request (3): Trade names and providers of any products or services in competition with the invention.

Response (3): The Applicant is not aware of any competition in the plastics industry to the website www.ThePlasticsExchange.com. Although not in competition with the website www.ThePlasticsExchange.com, a parallel website in the chemical industry is the website www.Chemconnect.com.

Request (4): Identification of the true assignee of the present invention.

Response (4): The true assignee of the present invention is Exchange Corp LLC dba ThePlasticsExchange.com, 710 N. Dearborn, Chicago, Illinois 60610.

July 19, 2005
Case No. 1250/4
Serial No.: 09/922,240
Filed: August 3, 2001
Page 3 of 3


SUMMARY

In view of the foregoing, favorable consideration and early passage to issue of the present application is respectfully requested. If any points remain in issue that may best be resolved through a personal or telephonic interview, Examiner Felten is respectfully requested to contact the undersigned at the telephone number listed below.

Dated: July 19, 2005

Respectfully Submitted,
Michael A. Greenberg, et al

CARDINAL LAW GROUP
Suite 2000
1603 Orrington Avenue
Evanston, Illinois 60201
Phone: (847) 905-7111
Fax: (847) 905-7113



Frank C. Nicholas
Registration No. 33.983
Attorney for Applicant